

# An overview of decision and influence processes

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# What to influence?

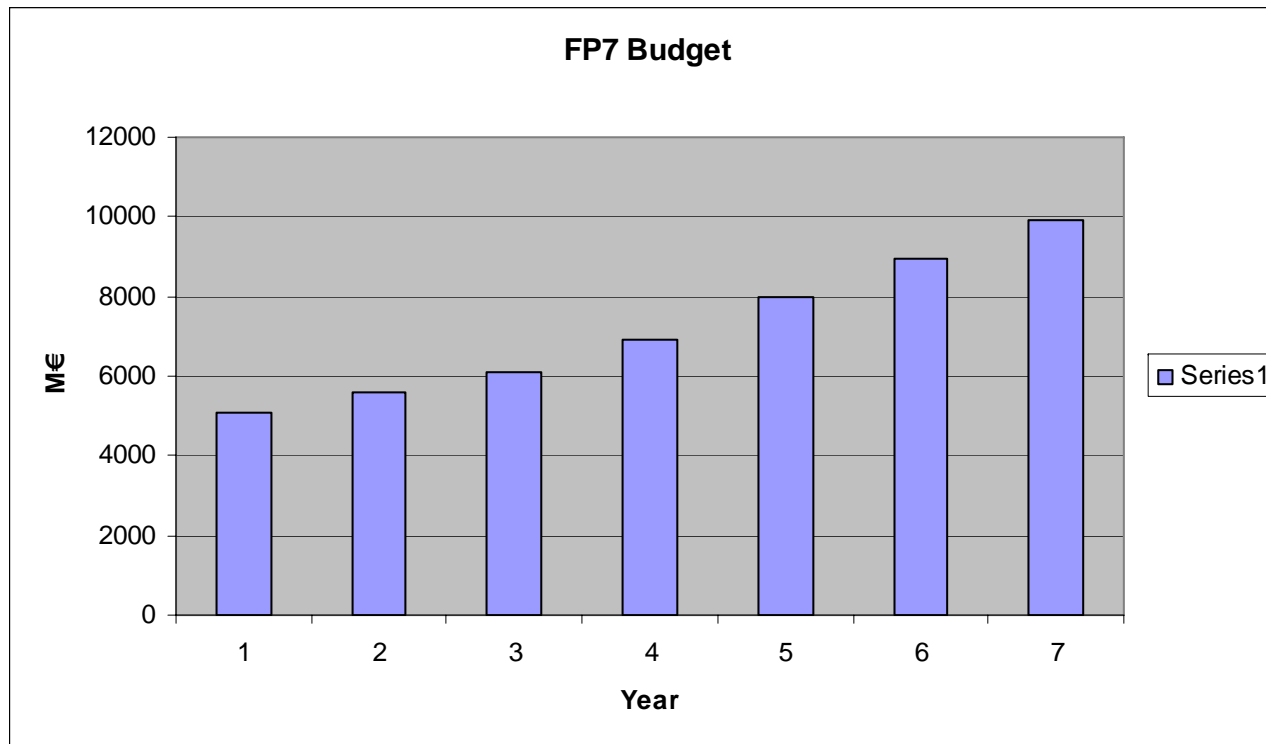
- The way the funds of the FP is allocated, i.e. which kinds of projects are supported.
  - FP7 legal text (EC + Euratom)
  - Specific Programmes
    - Cooperation, Ideas, People, Capacities, Euratom, JRC (EC + Euratom)
  - Rules for participation (EC + Euratom)
  - Work Programmes (same as SPs)
  - Grant agreements (++), Guidance documents (++)

# FP7 Implementation

- **Legislative Documents (Council, EP):**
  - **The Framework Programme, the Specific Programmes, the Rules for Participation**
  
- **Implementing documents (Commission/PC):**
  - **Annual Work Programmes**
  
- **Rules/Agreements (Commission)**
  - **Rules for submission, evaluation**
  - **Grant Agreements**
  
- **Other Documents**
  - **Guides for Applicants (funding schemes)**

# Decision processes

20	04	05	06	07	08	09	10	11	12
FP7	x	x	x						
SP/RP		x	x						
WP			(X)	(X)	x	x	x	x	x
GA			x						



# Example: Environment SP

## Natural Hazards

Disasters related to climate (such as storms, droughts, forest fires, landslides, avalanches, floods and other extreme events), and geological hazards (such as earthquakes, volcanoes and tsunamis)

# Environment 2007 WP

- Sub-activity 6.1.3. Natural hazards
- Indicative available budget: 13 M€
- Area 6.1.3.1. Hazard assessment, triggering factors and forecasting
- ENV.2007.1.3.1.1. European storm risk
- ENV.2007.1.3.3.1. Assessing and managing volcanic threat
- ENV.2007.1.3.3.2. Harmonising avalanche forecasting, risk mapping and warning
- ENV.2007.1.3.3.3. Investigating Europe's risk from droughts

## ENV.2007.1.3.1.1. European storm risk

Storms trigger, on different spatial and temporal scales, natural hazards related to heavy wind, water, snow and ice precipitation, storm surges and landslides. Research is needed to: analyse past European storm events based on a homogeneous database of occurrence and related socio-economic damages, study key circulation structures and changes in dangerous storm occurrence with size and time and their connection to climatological proxy indicators. Analyse and map storm related risks in sensitive European regions (including, when applicable, the outmost regions) taking into account intensity, spatial extent, duration, hazard interaction effects. Consider regional climate change impacts using output from related research activities. Contribute to the development of a probabilistic mapping and early warning and information system for the multiple risks triggered by storms, supporting long-term disaster reduction as well as timely relief operations.

# Funding schemes/impact

- Funding scheme: *collaborative projects (small or medium-scale focused research projects)*
- *Expected impact: Capitalisation and integration of knowledge and know-how; enhanced capacity for disaster anticipation. Better identification, in interaction with key stakeholders, of the sensitive regions of Europe in order to enable preparedness.*

# The most interesting part to influence is the Work Programmes!

- Who can influence
- What,
- When and
- How ?

# When and How?

- You have to understand the way the WPs are prepared!

# Work Programme Annual Cycle Year 2008

- 1-2 Q (2007) Consultation
- 2-3 Q (2007) Draft Commission proposal
- 3-4 Q (2007) Interservice Consultation
- 4Q (2007) Formal PC opinion
- 4 Q (2007) Commission decision
- 4 Q 2007 – 4 Q 2008 Calls for Proposals

# Commission budget

- **Mid February      Annual Policy Strategy (APS) – political priorities – with overall budget**
- **DGs make request to DG BUDG**
- **Hearings**
- **The proposal for the budget (PDB=Prelliminary Draft Budget) for year N+1 is adopted by the Commission at the end of April year N.**
- **PDB sent to “budgetary authority” (EP) by mid June**
- **Budget for year N+1 can now be mentioned in WPs.**
- **Decision by EP at the end of year N.**

# Consultation Work Programmes, Year N+1, 1-2 Q Year N

- Based on indicative priorities for year N and outcome of calls during year N, if available
- Advisory Groups ([http://ec.europa.eu/research/fp7/advisory\\_en.html](http://ec.europa.eu/research/fp7/advisory_en.html))
- European Technology Platforms
- Expert Groups
- Thematic (web- based) consultations

# Consolidation phase

## 3Q year N

- Internal Draft Work Programme
- Interservice Consultation: Research DGs, Policy DG, BUDG, LS, SG

# Adoption phase

## 4 Q Year N

- Formal opinion of Programme Committees
- Adoption by the Commission

# What can you influence?

- Topics – impact – funding schemes
- Calls for proposals, tenders, named beneficiary
- INCO - International Cooperation
  - FP7 open to third countries (3+?)
  - Targeted calls (3+?)
  - SICA calls (2+2)
- Cross-cutting issues
  - Coordinated calls
  - Joint Calls
  - Coordinated – INCO
- SMEs
- Dissemination
- Policy needs

# Who can influence?

- Everybody!
- **BUT**
- You need a strategy
- The strategy would be different depending on which stakeholder you are.

# Level of interaction

- "Minister"
- "Programme Committee"
- "Organisation"
- "Individual researcher"

## Strategy (same principles but different "content")

- **Longer term strategy, > 2 -3 years**
  - General strategy (thematic, horizontal)
    - Concrete (topics)
  - Establish a network
    - Officials in the Commission
    - PC, AGs, ETPs members
    - Other stakeholders/"like-minded"
  - Allocate resources
- **Shorter term strategy , 1 year**
  - Useful input at the right time – WP cycle
  - Make priorities, be realistic, on-going political debate, be active in Brussels (meetings/work shops)

# Summary

- Long term Strategy on the vision in line with own organisation (2 – 5 yrs)
- Short term Strategy on concrete topics/issues (1-2 yrs)
- Strategy on how to communicate the input – useful input and the right time – WP cycle
- Need to allocate resources -

Good luck!